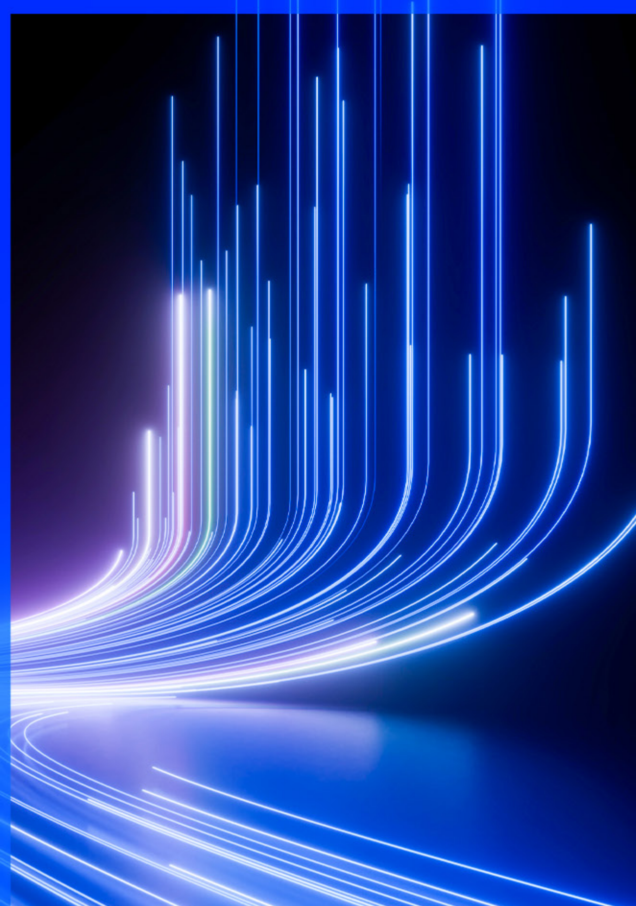
AI Enabled Screening as a Service

Transforming export controls and restricted party adjudication with AI and expert oversight.



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Why screening needs to change

Global trade and financial crime regulations are evolving faster than most organizations can keep up. Sanctions lists expand overnight, enforcement expectations rise, and regulators increasingly expect robust, well governed controls.

Traditional screening models struggle to keep pace:

- High false positive volumes overwhelm internal teams
- Manual alert review is slow, inconsistent, and costly
- Data and decisions are scattered across systems
- Scaling to new markets or business lines requires headcount increases

At the same time, advances in artificial intelligence (AI) and automation are fundamentally changing what “good” looks like. The question is no longer whether to use AI, but how to deploy it responsibly, at scale, and in a way that regulators and internal stakeholders can trust.

Our AI enabled managed screening service is designed to meet that need.

Our solution

AI power, governed by experts

We combine **advanced AI technology** with **experienced screening professionals** to deliver a managed service that is:

Faster

AI handles the bulk of routine triage, allowing us to resolve alerts quickly and consistently

More defensible

Every decision is traceable, documented, and supported by a clear governance framework

More focused

Models are tuned and governed to reduce noise, allowing our specialists to spend their time on potential positive matches.

More scalable

We can ramp up across entities, geographies, and business lines without linear increases in headcount

You receive a **fully managed screening function** that leverages AI to reduce cost and complexity, while our experts retain ownership of judgment, oversight, and accountability.

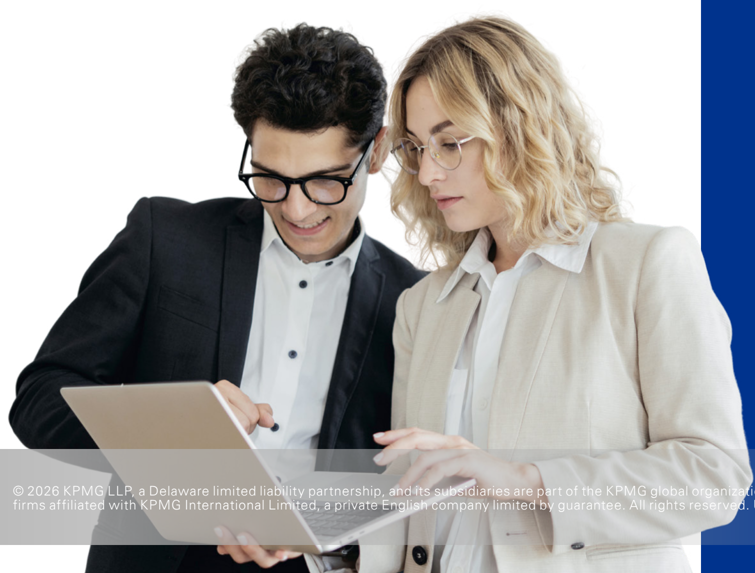
What we deliver

End to end screening operations

We operate your sanctions and restricted party screening on your behalf, using your policies or jointly defined standards. Our scope typically includes:

- Initial and ongoing screening of customers, suppliers, counterparties, and other third parties
- Coverage of relevant sanctions, watchlists, PEPs and other risk datasets
- Teams trained to work with client AI solutions
- AI assisted triage of alerts, with human review of exceptions and likely matches
- Documentation of outcomes, rationales, and audit ready logs

You maintain ownership of your screening program. We provide the **people, processes, technology, and controls** to operate screening efficiently and reliably.



AI assisted alert resolution with human oversight

Our alert handling model is built on three layers:

System agnostic triage

Our teams work in your existing systems and suite of AI tools, or screen and adjudicate in our environment where needed.

Analyst review

Experienced screening analysts review AI flagged alerts, confirm matches, conduct additional due diligence, and apply your policies.

Quality assurance and governance

Independent checks, back testing, and continuous performance monitoring ensure the AI behaves as intended and that risk coverage remains robust.

This architecture allows us to:

- Resolve a high percentage of alerts automatically or semi automatically
- Focus human effort where judgment adds the most value
- Continuously improve performance as new data and regulatory expectations emerge

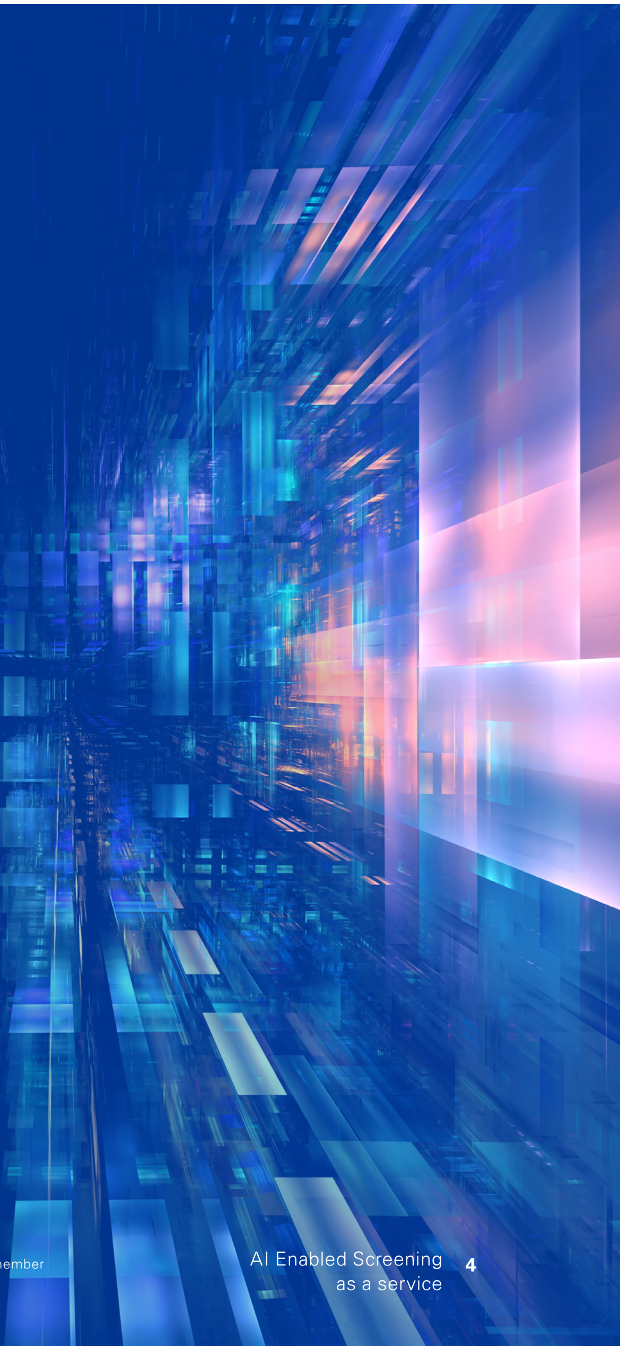
Insightful reporting and transparency

We provide clear, regular reporting so you can see how screening is performing and where risks are emerging, including:

- Volumes of screened parties and alerts over time
- False positive reduction and processing times
- Key risk indicators, trends, and emerging hot spots
- Documentation to support internal audit and regulator inquiries

Where requested, we can integrate reporting into your existing dashboards and governance forums.

Governance, model risk management, and regulatory readiness



AI brings new expectations around governance and model risk management. We help you address them by:

- Defining and documenting model objectives, boundaries, and performance metrics
- Establishing clear roles and responsibilities between your teams and ours
- Conducting periodic validation and back testing of AI models and parameters
- Maintaining an audit trail of changes, decisions, and exceptions
- Preparing materials to explain and defend your AI enabled screening approach to internal stakeholders and regulators

The result is a screening capability that is not only efficient, but also **explainable and defensible.**

How AI changes the economics of screening

Our service is designed to help you:

Reduce total cost of screening
by using AI to automate routine tasks and lower manual workload

Reallocate internal resources
from high volume alert handling to higher value compliance activities

Scale without headcount growth,
even as your business, counterparty base, or regulatory scope expands

Improve consistency and quality
of decisions by centralizing processes and combining AI with standardized human review

Depending on your starting point, clients typically see:

- Significant reductions in false positives and manual alert handling
- Faster turnaround times for critical alerts
- More predictable, subscription style pricing versus open ended cost per alert

We align our commercial model with these outcomes, emphasizing **portfolio level coverage and service levels** rather than charging per individual alert.

Engagement options

We offer flexible ways to work together, including:

One time screening projects—e.g., batch screening of legacy portfolios, M&A due diligence, or targeted exercises to clean up existing data

Ongoing managed screening—recurring screening of customers, suppliers, and other third parties as a fully or partially outsourced service

Hybrid models—AI enabled tools and workflows operated by your teams, with our experts providing oversight, QA, and escalation support

Scope, service levels, and pricing are tailored to:

- Size and complexity of your portfolio
- Risk profile (e.g., geographies, industries, counterparties)
- Integration needs with your existing systems
- Internal and regulatory expectations

Why work with us

Our approach draws on:

Deep screening and sanctions knowledge—Teams that understand regulatory expectations, real world enforcement, and industry best practice

Proven delivery capability—Experience operating large scale managed services for global organizations

Technology enabled operations—AI and automation are built into the core of our delivery model, not bolted on as an afterthought

Flexible engagement models—From targeted projects to fully managed operations, aligned to your strategy and budget

Collaborative mindset—We work alongside your teams, adapt to your policies, and co create improvements over time

We are committed to helping you build a screening capability that is faster, stronger, and more resilient, while managing the risks and opportunities presented by AI.

Let's discuss what AI enabled managed screening could look like for you

Every organization's risk profile and starting point are different. We would welcome the opportunity to:

- Understand your current screening processes, challenges, and objectives
- Share practical examples of how AI enabled managed screening works in practice
- Explore potential use cases, from targeted pilots to broader operating model changes
- Outline a tailored approach, including scope options, service levels, and commercial models

To learn more or to arrange a discussion, please contact:

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Your organization is already investing in sanctions and restricted party compliance. AI enabled managed screening can help you do it **more effectively, more efficiently, and with greater confidence.**

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