



Streamlining Form PF

Navigating regulatory compliance
with ease

KPMG Managed Services

Investment advisers continually grapple with compliance demands, and the reporting for Form PF can add substantial regulatory pressure to accurately and timely meet Securities and Exchange Commission (SEC) disclosure obligations.

Common data and reporting challenges include:



Data availability, completeness, accuracy, granularity, and gathering from source systems



Data treatment and classification according to Form PF requirements



Developing a sustainable, flexible, and reliable reporting capability



Keeping up to date with changes to reporting requirements.



The KPMG approach

KPMG Managed Services provides dedicated Form PF professional advisers along with an established methodology and advanced tools to help clients navigate regulatory reporting requirements. With our deep grounding in compliance and asset management, KPMG LLP addresses knowledge-intensive mission-critical processes, both noncore and core, throughout the enterprise.

KPMG assists clients with Form PF regulatory reporting by providing several key services:

- Enhanced reporting processes
- Regulatory change management
- Compliance program development
- Detailed reporting and scrutiny
- Risk management and governance.

These services aim to help clients navigate the complexities of regulatory reporting, helping ensure they meet all requirements while maintaining robust compliance and risk management frameworks.

By leveraging our quality control framework, technology, and reporting engines, KPMG offers solutions to help ensure data integrity, identify data gaps, and aggregate and classify data to comply with Form PF requirements.

Gap and impact assessment
Identify data needed and any gaps/missing data

Data aggregation and classification
Design and implement a process to aggregate data

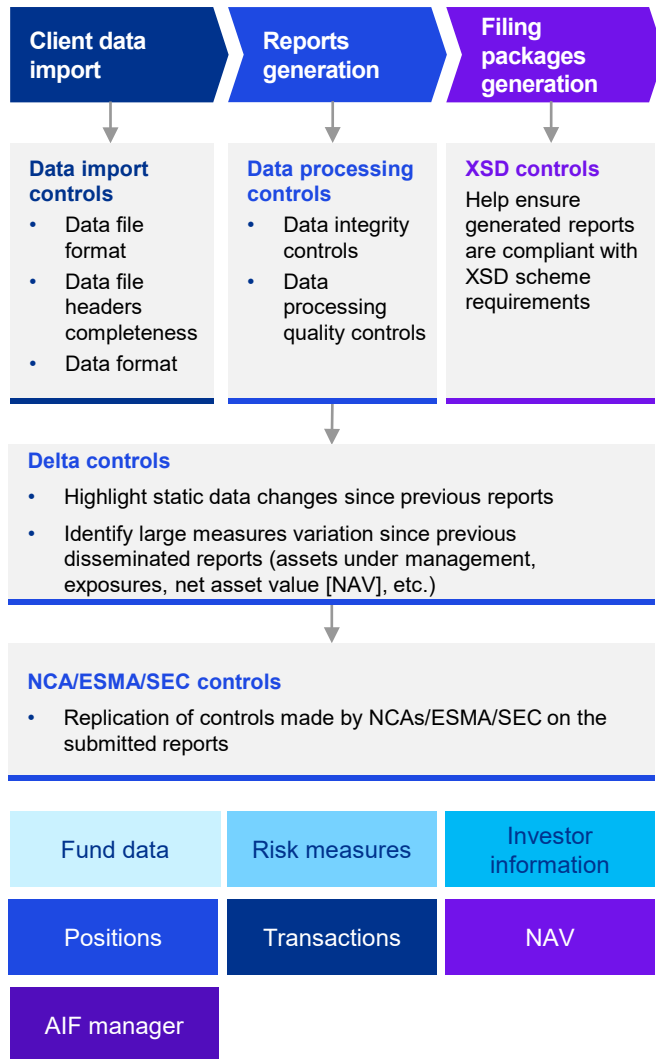
Form PF reporting
Generate accurate and timely reports as a managed service

Control framework: Validates accuracy and completeness with final checks designed to replicate integrity controls described in Form PF instructions

Our methodology

Technology never stops evolving. Neither should your processes. Our robust control framework helps ensure that all Form PF reports are accurate and compliant:

- Data import, processing, and integrity controls
- SEC XSD scheme compliance
- Regulatory monitoring.



We not only design, build, and deliver regulatory reporting solutions to help ensure compliance with the latest regulatory requirements, but also help clients enhance transparency and controls for investors and regulators with outstanding standards of accuracy and efficiency.

Some or all of the services described herein may not be permissible for KPMG audit clients and their affiliates or related entities.

Learn about us:



[kpmg.com](https://www.kpmg.com)

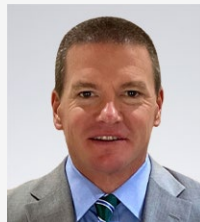
Potential benefits

KPMG offers an extensive suite of services to simplify and streamline the Form PF reporting process:

- Timely and accurate compliance with the latest SEC requirements
- Dedicated knowledge
- Advanced tools for efficient reporting and oversight
- Enhanced risk monitoring.

At KPMG, we understand that regulatory compliance is a continuous and evolving process. Our managed services for Form PF are designed to be an integral part of your company's long-term compliance strategy, not just a short-term solution.

Contact us



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