



KPMG Asset Management Audit Platform

Introducing the data and technology-enabled approach for fund and investment adviser audits

A better audit experience starts with a different approach.



KPMG Asset Management Audit Platform (AMAP) is a key component of our automated end-to-end audit platform KPMG Clara. Specifically designed for funds and investment advisers, AMAP powers the audit process with automation, advanced analytics and AI capabilities. This data and technology-enabled approach helps deliver an exceptional experience, audit quality, efficiency and deeper insights.

Automate everything, except the critical thinking

We process data through automated routines combined with advanced analytics to produce a standardized output. This empowers your audit team to evaluate results in a critical and efficient manner by analyzing trends, relationships, and anomalies in order to focus on higher risk transactions and outliers.



Inflows

- Third-party administrator reports
- Custodian & Broker statements
- Internal reports
- Legal agreements
- Valuation models
- Market data
- Financial and investor data

- Extensive experience working with major fund administrators, brokers, custodians and fund accounting software providers to understand and process data from standard reports
- Extraction and validation tools provide a more seamless data collection process for large volumes of data
- AI-trained document readers effortlessly extract data and key terms from legal agreements and unstructured data



Key Features

Balances and transactions analyzed and tested include:

- Investment and derivative transactions
- Investment holdings and roll forwards
- Capital allocations
- Management and Incentive fees
- Investment valuations for a wide range of private and public securities
- Disclosures and Financial highlights
- Dividend and Interest income

Aggregate and test transactions across the entire portfolio at the same time

Enhanced features to help analyze data within complex capital and investment structures

Private Equity | Venture Capital | Private Credit | Real Estate | Hedge | RICs | Fund of Funds | CIT | BDC

Features that streamline and improve the audit process

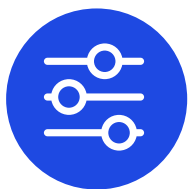
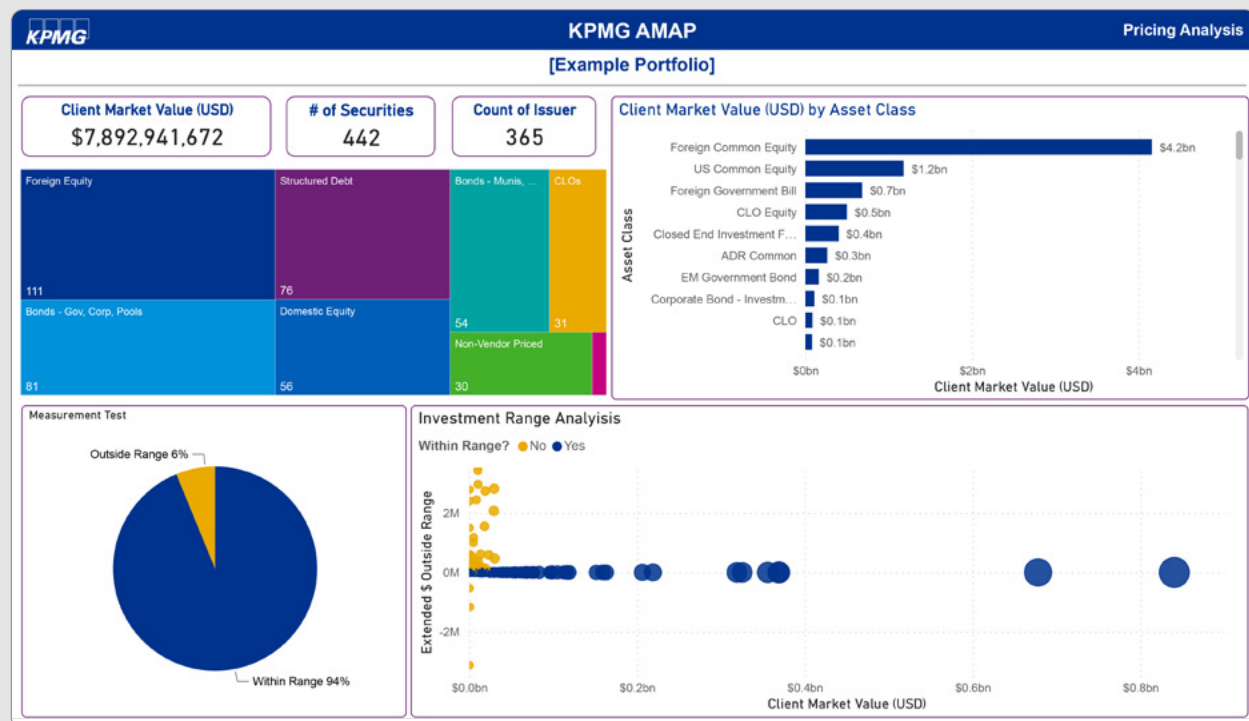


Valuation testing

Our proprietary security pricing platform streamlines valuation testing for a wide range of private and public securities, including complex financial instruments, derivatives and cryptocurrencies. We aggregate and analyze large volumes of market data from leading industry sources to develop independent security prices quickly and efficiently. For securities with few pricing sources, we use advanced analytical tools such as data clustering and transaction scoring techniques to help drive our risk assessment.

Security Portfolio Pricing Analysis

Provides automated audit evidence and more precisely targets securities that may require further procedures, if any, which minimizes the burden on your team. In addition, we are able to share insights we observed as part of this analysis.



Systematic risk assessment

We apply a systematic risk assessment to help us identify and focus on the risks that matter most, both up front and throughout the audit cycle. Through automation, we can more deeply evaluate transaction level characteristics to further refine our views on risk.

AMAP empowers your audit team to evaluate results in a critical and efficient manner.

Benefits at a glance

1 Client experience

- Fewer follow-ups with more informed, focused questions from the audit team
- Data and technology driving quality-focused audit
- Real time execution, communication and delivery

2 Efficiency

- Streamlined approach to obtain and use your data
- Data-enabled approach to investigate and document differences
- Audit focused on higher risk transactions

3 Insights

- Deeper understanding of your investments and related risks
- Detailed and comprehensive transaction analysis can be used to identify areas for potential process improvements
- Communication of insights gained through enhanced reporting capabilities

Enhanced Capabilities for Alternative Investments



Hedge

Enhanced features for complex capital and fund structures, including master/feeder and open and closed-end funds, and custom distribution waterfall calculations



Private Equity & Venture Capital

Suite of valuation tools to handle complex valuations, analyzing inputs and market-based valuation information to help us identify areas of higher risk and calibrate key assumptions.



Private Credit

Valuation testing for a wide range of loans and debt securities, including customizable analytics for more complex private credit valuations to help assess credit risk and calculate independent prices using market yields.



Real Estate

Advanced risk assessment and analytical tools for entities that record real estate assets at fair value and historical cost, including complex valuations and purchase price allocations.



Fund of Funds

Streamlined data extraction from our AI-trained document readers combined with a suite of automated routines specifically designed for investments in other funds measured at Net Asset Value (NAV).

Contact Us



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To learn more

KPMG Asset Management Audit Platform for further information on our data and technology-enabled audit approach and enhanced features for alternative investments



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