

BBYL: CSA Investigations

Population: List of 'Saxo discrepancy transactions' provided by a former BBY employee (Exhibit 54)

Source: Provided by a former BBY employee

Colour coding:

Covered in report findings - section 6

Covered as part of Saxo upload / termination fund flow diagram (section 6.9)

Date	Account Pool	Account name	Account number	Transaction category	Transaction description	Currency	Value (AUD Equivalent)	Absolute value (AUD)	Report reference
ABOVE OR EQUAL TO \$100,000 AUD									
1 23/02/2015	SAXO	Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL BBY	AUD	-670,000.00	670,000.00	In Report (6.5.2)
23/02/2015	FX	BBY FX Trust	553619268	INTERNET DEPOSIT	INTERNET DEPOSIT BBY	AUD	670,000.00		In Report (6.5.2)
10 6/03/2015	FX	BBY FX Trust	553619268	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL RETURN OF FUNDS 23/02	AUD	-670,000.00	670,000.00	In Report (6.5.2)
6/03/2015	SAXO	Saxo Buffer	553619356	INTERNET DEPOSIT	INTERNET DEPOSIT RETURN OF FUNDS 23/02	AUD	670,000.00		In Report (6.5.2)
2 24/02/2015	SAXO	Saxo Buffer	553619356		BBO REF36877115 BBY	AUD	-373,545.44	373,545.44	In Report (6.6.2)
24/02/2015	HOUSE	BBY NAB Operating Account	169290891		INTER-BANK CREDIT BBY LIMITED BBY LTD SAXO BUF BBY LIMITED	AUD	373,545.44		In Report (6.6.2)
9 6/03/2015	HOUSE	BBY NAB Operating Account	169290891		TRANSFER DEBITS INTERNET TRANSFER PAYMENT-ID 60129174 14060	AUD	-373,545.44	373,545.44	In Report (6.6.2)
6/03/2015	SAXO	Saxo Buffer	553619356		RTGS INWARD PAYMENT SGB1503061353830BBY LIM	AUD	373,545.44		In Report (6.6.2)
4 26/02/2015	SAXO	Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL BBY	AUD	-500,000.00	500,000.00	In Report (6.5.3)
26/02/2015	FUTURES	BBY Futures	553619284	INTERNET DEPOSIT	INTERNET DEPOSIT BBY	AUD	500,000.00		In Report (6.5.3)
5 2/03/2015	SAXO	Saxo Buffer	553619356	INTERNET DEPOSIT BBY		AUD	-563,000.00	563,000.00	In Report (6.6.2)
2/03/2015	HOUSE	BBY General Account	553452576	INTERNET DEPOSIT		AUD	563,000.00		In Report (6.6.2)
6 2/03/2015	OTHER TRUST	BBY LTD GENERAL TRUST ACCOUNT	553980002	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL BBY	AUD	-563,000.00	563,000.00	In Report (6.6.2)
2/03/2015	SAXO	BBY Ltd Saxo Buffer	553619356	INTERNET DEPOSIT	INTERNET DEPOSIT BBY	AUD	563,000.00		In Report (6.6.2)
7 4/03/2015	SAXO	BBY Ltd Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL BBY	AUD	-150,000.00	150,000.00	In Report (6.6.2)
4/03/2015	House	BBY Ltd General Account	553452576	INTERNET DEPOSIT	INTERNET DEPOSIT BBY	AUD	150,000.00		In Report (6.6.2)
8 4/03/2015	OTHER TRUST	BBY LTD GENERAL TRUST ACCOUNT	553980002	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL BBY	AUD	-150,000.00	150,000.00	In Report (6.6.2)
4/03/2015	SAXO	BBY Ltd Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET DEPOSIT BBY	AUD	150,000.00		In Report (6.6.2)
11 13/03/2015	SAXO	Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL Trf	AUD	-750,000.00	750,000.00	In Report (6.6.3)
13/03/2015	HOUSE	BBY General Account	553452576	INTERNET DEPOSIT	INTERNET DEPOSIT Trf	AUD	750,000.00		In Report (6.6.3)
12 16/03/2015	SAXO	Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL Trf	AUD	-139,000.00	139,000.00	In Report (6.6.3)
16/03/2015	HOUSE	BBY General Account	553452576	INTERNET DEPOSIT	INTERNET DEPOSIT Trf	AUD	139,000.00		In Report (6.6.3)
14 31/03/2015	SAXO	Saxo Buffer	553619356	OTHER DEBITS	INTERNET RTGS	AUD	-1,600,000.00	1,600,000.00	In Report (6.6.3)
31/03/2015	HOUSE	BBY NAB Operating Account	169290891		INTER-BANK CREDIT RTGS (STG-002568) BBY LTD SYDNEY NSW 2000	AUD	1,600,000.00		In Report (6.6.3)
19 8/05/2015	HOUSE	BBY NAB Operating Account	169290891		TRANSFER DEBITS INTERNET TRANSFER PAYMENT-ID 62780375 14126	AUD	-150,000.00	150,000.00	In Report (6.7)
8/05/2015	SAXO	Saxo Buffer	553619356		RTGS INWARD PAYMENT SGB1505080312565BBY LIM	AUD	150,000.00		In Report (6.7)
3 25/02/2015	SAXO	Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL BBY	AUD	-750,000.00	750,000.00	In Report (6.9.5) - Saxo upload / termination
25/02/2015	HOUSE	BBY General Account	553452576	INTERNET DEPOSIT	INTERNET DEPOSIT BBY	AUD	750,000.00		In Report (6.9.5) - Saxo upload / termination
13 23/03/2015	SAXO	Saxo Buffer	553619356	INTERNET WITHDRAWAL	INTERNET WITHDRAWAL Trf	AUD	-426,000.00	426,000.00	In Report (6.9.5) - Saxo upload / termination
23/03/2015	FX	BBY FX Trust	553619268	INTERNET DEPOSIT	INTERNET DEPOSIT Trf	AUD	426,000.00		In Report (6.9.5) - Saxo upload / termination
15 17/04/2015	SAXO	Saxo Buffer USD Acct	204226USD03			USD	-638,814.36	638,814.36	
17/04/2015	FX	BBY FX TRUST	204226USD01			USD	638,814.36		
17 8/04/2015	HOUSE	BBY NAB Operating Account	169290891		INTER-BANK CREDIT RTGS (STG-002568) BBY LTD SYDNEY NSW 2000	AUD	-100,000.00	100,000.00	
8/04/2015	SAXO	Saxo Platform - Halifax	302100 9001247			AUD	100,000.00		
Total value of the transactions in the population above \$100,000 AUD								8,566,905.24	

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Date	Account Pool	Account name	Account number	Transaction category	Transaction description	Currency	Value (AUD Equivalent)	Absolute value (AUD)	Report reference
LESS THAN \$100,000 AUD									
16 7/04/2015	FX	BBY FX Trust	553619268		BBO REF37387817 BBY 6790556	AUD	-80,000.00	80,000.00	
7/04/2015	SAXO	Saxo Platform - Halifax	302100 9001246			AUD	80,000.00		
18 10/04/2015	HOUSE	BBY NAB Operating Account	169290891		TRANSFER DEBITS INTERNET TRANSFER PAYMENT-ID 61539746 14098	AUD	-40,000.00	40,000.00	
10/04/2015	SAXO	Saxo Platform - Halifax	302100 9001247			AUD	40,000.00		
Total value of the transactions in the population below \$100,000 AUD								120,000.00	

Value of transactions covered in report

Total value of transactions	8,686,905.24
Total value of transactions covered in report	7,828,090.88
Percentage of total value of transactions covered in report	90%

Number of transactions covered in report

Total number of transactions	19
Total number of transactions covered in report	15
Percentage of total number of transactions covered in report	79%

Source: KPMG